

Alphawave Responsible Minerals Sourcing Policy

Conflict Minerals

As per the requirement of U.S. Dodd-Frank Financial Reform Law of 2010, all U.S. publicly traded companies must disclose "conflict minerals" necessary to the functionality or production of products they manufacture or contract to manufacture.

The Democratic Republic of the Congo (DRC) is a Central African country with vast mineral wealth, including reserves of "3TG" cassiterite (tin), columbite-tantalite (tantalum), wolframite (tungsten) and gold. For many years, armed groups have fought to control mines within the DRC and have benefited financially from the proceeds of mineral trade; those armed groups have been cited for committing violent crimes against locals and perpetrating human rights abuses. As a result, these minerals are also known as "conflict minerals".

The metals tantalum, tin, tungsten and gold are used in manufactured goods across many industries, including the aerospace, appliances, automotive, electronics, jewelry, medical and tool & die industries. In an effort to address the humanitarian crisis associated with conflict minerals, the United States (U.S.) enacted legislation requiring that U.S. companies report to the Securities and Exchange Commission (SEC) on their use of conflict minerals sourced from the DRC and surrounding region. Several other governments are considering initiatives to address conflict minerals.

Respect for human rights and the environment are core commitments of Alphawave Semi as a responsible corporate citizen. We are particularly concerned about the human rights abuses that may be associated with extracting, trading, handling and exporting minerals from the Democratic Republic of Congo ("DRC") and adjoining countries. It is Alphawave Semi's policy that tin, tantalum, tungsten and gold contained in our products shall not be derived from sources that finance or benefit armed groups in the DRC or adjoining countries.

Alphawave Semi understands that awareness and cooperation among its supply chain participants is essential to any responsible sourcing initiative. By holding product suppliers accountable for the principles in this policy, Alphawave Semi believes its conflict minerals program will help drive necessary transparency in the supply chain and further the ultimate goal of ending armed conflict in the DRC.

Alphawave Semi's Expectations for Suppliers.

- Suppliers are expected to supply materials that are “DRC conflict free”.
- Alphawave Semi requires suppliers commit to the RBA Code of Conduct which includes a provision related to the responsible sourcing of minerals. Thus, suppliers must have a policy to reasonably assure that the tantalum, tin, tungsten and gold in the products they manufacture are conflict free.
- Alphawave Semi expects suppliers to establish their own due diligence program to achieve conflict-free supply chains. Alphawave Semi also requires our suppliers to undertake reasonable due diligence to determine if the specified metals are being sourced from certified conflict-free smelters validated as compliant to the CFS protocol, using the CFS Compliant Smelter List using the latest Conflict Minerals Reporting Template (CMRT) and, if not, to make deliberate progress towards doing so. The list of compliant smelters and refiners is posted at [Responsible Minerals Initiative](#).

Visit [Responsible Minerals Initiative \(responsiblebusiness.org\)](#) website for information regarding the conflict-free smelter program and for links to other resources.

Extended Minerals

The Extended Minerals Reporting Template (EMRT) is a free, standardized reporting template created by the Responsible Business Alliance. The EMRT facilitates the exchange of information through the supply chain regarding mineral country of origin and processors being utilized. In doing so, it supports companies' exercise of due diligence in accordance with the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas. The EMRT also facilitates the identification of refiners and processors

The EMRT's scope is currently limited to Cobalt and natural Mica supply chains.

Alphawave Semi expects suppliers to establish their own due diligence program to achieve responsible supply chains. Alphawave Semi also requires our suppliers to undertake reasonable due diligence to determine if the specified metals are being sourced from certified conflict-free smelters validated as compliant.

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